

04/09/01

J1057 U.S. PTO

UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No.: CM03351J
First Inventor: Kenneth D. Cornett
Title: MEMS RESONATORS AND METHOD FOR MANUFACTURING MEMS RESONATORS
Express Mail Label No.:

(Only for new nonprovisional applications under 37 CFR 1.53(b))

JCS978 U.S. PTO
09/828431

04/09/01

APPLICATION ELEMENTS

(see MPEP chapter 600 concerning
utility patent application contents)

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form *in duplicate*
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status
See 37 CFR 1.27
3. ☒ **Specification** **Total Pages** **44**
(preferred arrangement set forth below)
-Descriptive title of the invention
-Cross Reference to Related Applications
-Statement Regarding Fed sponsored R&D
-Reference to sequence listing, a table,
-Background of the Invention
-Brief Summary of the Invention
-Brief Description of the Drawings (if filed)
-Detailed Description
-Claim(s)
-Abstract of the Disclosure
4. ☒ **Drawing(s)** **Total Sheets** **18**
5. Oath or Declaration
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37
CFR 1.63(d)) (for continuation/ divisional with Box 18
completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet under 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large
table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CFR)
- b. ☐ Specification Sequence Listing on:
i. ☐ CD-ROM or CD-4 (2 copies); or
ii. ☐ paper.
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) ☐ Power of Attorney
Statement (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ IDS ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
15. ☐ Certified Copy of Priority Document
16. ☐ Request and Certification under 35
U.S.C. 122(b)(2)(B)(i). Applicant
must attach form PTO/SB/35 or its equivalent
17. ☐ Other: _____

18. IF A CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in- Part (CIP) Prior Appl. No. _____
Prior Appl. information: Examiner: _____ Group/Art Unit: _____

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 24273 or ☐ Correspondence address below

Name	Daniel K. Nichols						
	Motorola, Inc. - Law Department						
Address	8000 West Sunrise Boulevard						
City	Ft. Lauderdale	State	Florida	Zip Code	33322		
Country	U.S.A.	Telephone	(954) 723-6449	Fax	(954) 723-3871		
Name	Daniel K. Nichols	Registration No.	29,420				
SIGNATURE	Daniel K. Nichols			Date	April 3, 2001		

FEE TRANSMITTAL		Complete if Known	
		Application Number	
		Filing Date	
		First Named Inventor	Kenneth D. Cornett
		Examiner Name	
Patent fees are subject to annual revision		Group Art Unit	
TOTAL AMOUNT OF PAYMENT		Attorney Docket No.	CM03351J
(\$1558.00)			
METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:		3. ADDITIONAL FEES	
Deposit Account Number 50-0757		Large Entity Small Entity	
Deposit Account Name Motorola, Inc.		Fee Fee Fee Fee	
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		Code (\$) Code (\$) Fee Description	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		105 130 205 65 Surcharge - late filing fee or oath	
2. <input type="checkbox"/> Payment Enclosed:		127 50 227 25 Surcharge - late Provisional filing	
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		139 130 139 130 Non-English specification	
FEE CALCULATION		147 2520 147 2520 For filing a request for ex parte Reexamination	
1. BASIC FILING FEE		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
Large Fee Entity Fee Small Fee Entity Fee Fee Paid		113 1840* 113 1840* Requesting publication of SIR after Examiner action	
101 710 201 365 Utility filing fee \$710.00		115 110 215 55 Extension for reply within first month	
106 320 206 160 Design filing fee		116 390 216 195 Extension for reply within second month	
107 490 207 245 Plant filing fee		117 890 217 445 Extension for reply within third month	
108 710 208 355 Reissue filing fee		118 1390 218 695 Extension for reply within fourth month	
114 150 214 75 Provisional filing fee		128 1890 228 945 Extension for reply within fifth month	
SUBTOTAL (1) (\$710.00)		119 310 219 155 Notice of Appeal	
2. EXTRA CLAIM FEES		120 310 220 155 Filing a brief in support of an appeal	
Total Claims 56 -20** = 36 X 18 = 648.00		121 270 221 135 Request for oral hearing	
Independent Claims 5 -3** = 2 X 80 = 160.00		138 1510 138 1510 Petition to institute a public use proceeding	
Multiple Dependent 270 =		140 110 240 55 Petition to revive - unavoidable	
Large Fee Entity Fee Small Fee Entity Fee Fee Description		141 1240 241 620 Petition to revive - unintentional	
103 18 203 9 Claims in excess of 20		142 1240 242 620 Utility issue fee (or reissue)	
102 80 202 40 Independent claims in excess of 3		143 440 243 220 Design issue fee	
104 270 204 135 Multiple dependent claim, if not paid		144 600 244 300 Plant issue fee	
109 80 209 40 ** Reissue independent claims Over original patent		122 130 122 130 Petitions to the Commissioner	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		123 50 123 50 Processing fee under 37 CFR 1.17(a)	
SUBTOTAL (2) (\$808.00)		126 180 126 180 Submission of IDS	
**OR NUMBER PREVIOUSLY PAID, IF GREATER. For Reissues, see above		581 40 581 40 Recording each patent assignment per property (times number of properties)	
SUBMITTED BY		146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
Name (Print/Type) Daniel K. Nichols		149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
Registration No. 29,420		179 710 279 355 Request for continued Examination (RCE)	
Telephone (954) 723-6449		169 900 169 900 Request for expedited examination of a design application	
Signature [Signature]		Other fee (specify)	
Date April 3, 2001		* Reduced by Basic Filing Fee paid	
SUBTOTAL (3) (\$40.00)		SUBTOTAL (3) (\$40.00)	

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 750.00)

Compleat if Kn wn

Application Number
Filing Date March 22, 2001
First Named Inventor CHIN CHANG
Examiner Name
Group Art Unit
Attorney Docket No. US010086 (834-50)

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 14-1270
Deposit Account Name U.S. Philips Corporation

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	\$710.00
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$ 710.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
19	-20** = 0	18	\$0
2	-3** = 0	80	\$0
Multiple Dependent		270	\$0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	\$40
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

SUBMITTED BY

Name (Print/Type) Daniel E. Tierney
Signature *Daniel E. Tierney*
Registration No. (Attorney/Agent) 33,461
Telephone (516) 228-8484
Date 3/22/01

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EL 765221606 US addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Dated: 3/22/01

George Likourezos
George Likourezos

THE COMMISSIONER OF PATENTS AND TRADEMARKS, Washington, D.C. 20231

Enclosed for filing is the patent application of Inventor(s):
 Chin Chang and Gert Bruning
 For: METHOD AND SYSTEM FOR DRIVING A CAPACITIVELY
 COUPLED FLUORESCENT LAMP

ENCLOSED ARE:

- [X] Appointment of Associates;
 [X] Information Disclosure Statement, Form PTO-1449 and copies of documents listed therein;
 [] Preliminary Amendment;
 [X] Specification (13 Pages of Specification, Claims, & Abstract);
 [X] Declaration and Power of Attorney:
 (2 Pages of a [X] fully executed [] unsigned Declaration);
 [X] Drawing (3 sheets of [X] informal, [] formal sheets);
 [] Certified copy of application Serial No.;
 [] Authorization Pursuant to 37 CFR §1.136(a)(3);
 [X] Other: Check for \$750.00; PTO/SB/05; PTO/SB/17;
 [X] Assignment to Philips Electronics North America Corp.

FEE COMPUTATION

CLAIMS AS FILED				
FOR	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE - \$710.00
Total Claims	19- 20 =	0	X \$18 =	0.00
Independent Claims	2 - 3 =	0	X \$80 =	0.00
Multiple Dependent Claims, if any			\$270 =	0.00
TOTAL FILING FEE				\$710.00

A check in the amount of \$710.00 is enclosed in the amount of the total filing fee indicated above. The Commissioner is also hereby authorized to charge any other fees which may be required, except the issue fee, or credit any overpayment to Account No. 14-1270.

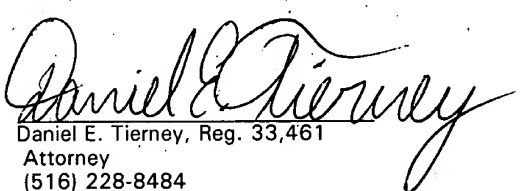
[] Amend the specification by inserting before the first line as a centered heading --Cross Reference to Related Applications--; and insert below that as a new paragraph --This is a continuation-in-part of application Serial No. , filed , which is herein incorporated by reference--.

CERTIFICATE OF EXPRESS MAILING

Express Mail Mailing Label No. EL 765221606 US
 Date of Deposit March 22, 2001. I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

George Likourezos
 Typed Name


 Signature


 Daniel E. Tierney, Reg. 33,461
 Attorney
 (516) 228-8484
 Dilworth & Barrese, LLP
 333 Earle Ovington Boulevard
 Uniondale, New York 11553